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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/526,751

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Thorsten Pferdekaemper

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EXAMINER

SHECHTMAN, CHERYL MARIA

ART UNIT

PAPER NUMBER

2159

MAIL DATE

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PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 10/526,751	Applicant(s) PFERDEKAEMPER ET AL.	
	Examiner CHERYL M. SHECHTMAN	Art Unit 2159	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 11 March 2009.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-3,5-7,10-12,14,15 and 17-19 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-3,5-7,10-12,14,15 and 17-19 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 07 March 2005 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>3/11/09</u> . | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. This communication is in response to RCE filed March 11, 2009. Claims 1-3, 5-7, 10-12, 14, 15, and 17-19 are pending. Claims 1, 11, and 12 are amended. Claims 4, 8, 9, 13, 16, 20 and 21 are cancelled.

Response to Arguments

2. Applicant's arguments with respect to claims 1-3, 5-7, 10-12, 14, 15, and 17-19 have been considered but are moot in view of the new ground(s) of rejection.

3. Applicant's arguments filed with respect to claim 1 have been fully considered but they are not persuasive.

Referring to claim 1, Applicant argues that the combination of Furlani/Bak fails to teach that the first lock object and the second lock object store the same ID. However, Examiner respectfully disagrees. The combination of Furlani/Bak, specifically Furlani, discloses that the first lock object (Group Lock Object 303) stores LockObject ID 325 with corresponds to the same value as Max LockObject ID 313 stored in the second lock object (Reference Lock Object 301) as illustrated in Fig. 3 (see also col. 8, lines 22-25). As such, Examiner maintains that the combination of Furlani/Bak does teach that the first lock object and the second lock object store the same ID.

All remaining claims are also rejected for the same reasons pertaining to claim 1 above.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims 1-3, 5-7, 11, 12, 14, 15 and 17-19 are rejected under 35 U.S.C. 103(a) as being unpatentable over US Patent Number 6,594,683 issued to Furlani et al (hereafter Furlani), in view of Patent Number 7,028,287 issued to Bak et al (hereafter Bak), and further in view of US Patent Number 5,566,319 issued to Lenz.

Referring to claim 1, Furlani discloses a data structure tangibly embodied in a computer-readable medium, the data structure preventing access, in a computer system, to a data object stored in a first storage location (Abstract; Fig 3, element 303 and 5A), the data structure comprising:

- a first lock object ('Group lock object', Fig. 3, element 303), in which an ID of the data object is stored, thereby indicating that the data object is being accessed
(Group lock object must be accessed in order to store LockObjectID 325 pertaining to incremented MAX LockObjectID 313, the Reference Lock Object 301 is used to provide exclusive access to subsequently described group lock linkages, col. 7, line 49 – col. 8, line 25; Fig. 3), and in which a link to a storage

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location of a data object is assigned to the ID ('LockObject ID' pointer, Fig. 3, element 325, col. 8, lines 15-25; col. 8, lines 35-50), and

- a second lock object ('Reference lock object', Fig. 3, element 301), in which the ID is stored ('MaxLockObject ID', Fig. 3, element 313; col. 8, lines 6-14), before storing the ID in the first lock object (col. 8, lines 6-25), the second lock object comprising a one dimensional data array of IDs of data objects (see Reference lock object array 301, Fig. 3; MaxLockObject ID uniquely identifies a plurality of lock objects, col. 8, lines 7-14), wherein
 - o the ID is deleted from the second lock object after storing the ID in the first lock object (Fig. 3, element 319; col. 8, lines 30-34; see Unlock function in Fig. 5A), and wherein
 - o the first lock object and the second lock object are accessible by a software application, whereby the software application is prevented from accessing the data object if the ID is stored in the first lock object or the second lock object (Abstract; col. 2, line 59 – col. 3, line 4).

Furlani discloses all of the above claimed subject matter and also discloses: assigning a link to a storage location of a data object (Furlani, Fig. 3, element 325); the first lock object storing an ID of a data object (Furlani, LockObject ID 325, Fig. 3) and also storing links to the second storage location (Furlani, LockObject ID 325 and Ptr to Reference Lock 327, Fig. 3).

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However, Furlani remains silent as to assigning a link to a storage location storing a copy of a data object; and the first lock object comprising a table with at least two columns; one of the columns storing Ids of data objects.

However, Bak teaches analogous art that discloses assigning a link to a storage location storing a copy of a data object (Bak, Abstract; col. 2, lines 27-34; Fig. 4, col. 5, lines 16-39).

It would have been obvious to one of ordinary skill in the art at the time that the invention was made to modify Furlani to include assigning a link to a storage location storing a copy of a data object, as taught by Bak.

The ordinary skilled artisan would have been motivated to modify Furlani per the above for the purpose of facilitating exclusive access to an instance associated with the data object by indicating through the placement of the pointer link that the instance is locked (Bak, col. 2, lines 27-34).

Still referring to claim 1, the combination of Furlani/Bak discloses all of the above but remains silent as to the first lock object comprising a table with at least two columns, one of the columns storing Ids of data objects.

However, Lenz teaches analogous art discloses analogous art that includes a lock object comprising a table with at least two columns, one of the columns storing Ids of data objects (see 'Lock File' 3-1, as well as elements 3-2, 3-3 and 3-4).

It would have been obvious to one of ordinary skill in the art at the time that the invention was made to modify the combination of Furlani/Bak to include a first lock object comprising a table with at least two columns, one of the columns storing ids of data objects, as taught by Lenz.

The ordinary skilled artisan would have been motivated to modify the combination of Furlani/Bak per the above for the purpose of enabling rapid verification of optimistic assumption for lock file control fields (Lenz, col. 2, lines 49-67).

Referring to claim 11, the limitations of the claim are similar to those of claim 1 above and therefore claim 11 is rejected for the same reasons addressed above. In addition, claim 11 is directed to a computer system with memory means having program instructions; input means for entering data; storage means for storing data; and a processor responsive to the program instructions (Furlani, see Fig. 1 and related portions of specification).

Referring to claim 12, the limitations of the claim repeat the respective limitations of claim 1 above in the form of a computer readable medium comprising instructions (see Furlani, Fig. 1 and related portions of specification).

Referring to claims 2 and 14, the combination of Furlani/Bak/Lenz discloses that the link is a filename or a link to a file (Furlani, Fig. 3; filestorage mechanism, col. 5, line 66 - col. 6, line 10).

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Referring to claims 3 and 15, the combination of Furlani/Bak/Lenz discloses that the first lock object is a file stored in a nonvolatile storage means (Furlani, 'Cd-ROM', Fig. 1, element 115; col. 5, line 66 – col. 6, line 10).

Referring to claims 5 and 17, the combination of Furlani/Bak/Lenz discloses that the data object comprises one or more fields of one or more tables and wherein the ID comprises one or more key fields of the one or more tables (Furlani, Fig. 3, element 307).

Referring to claims 6 and 18, the combination of Furlani/Bak/Lenz discloses that the first and second lock objects are created by a data moving or data archiving process (Furlani, col. 5, lines 1-8; see also claim 12 of Furlani).

Referring to claims 7 and 19, the combination of Furlani/Bak/Lenz discloses that the second lock object is stored in a volatile or nonvolatile storage means (Furlani, 'Cd-ROM', Fig. 1, element 115; col. 5, line 66 – col. 6, line 10).

5. Claim 10 is rejected under 35 U.S.C. 103(a) as being unpatentable over Furlani in view of Bak, in view of Lenz, as applied to claim 1 above, and further in view of Applicant's admitted prior art (hereafter AAPA).

Referring to claim 10, the combination of Furlani/Bak/Lenz discloses all of the above claimed subject matter, however remains silent as to using data structures in enterprise resource planning software.

However, AAPA teaches the use of data structures in enterprise resource planning software (ERP) applications (para. 4-5 of the instant specification).

It would have been obvious to one of ordinary skill in the art at the time that the invention was made to modify the combination of Furlani/Bak/Lenz to include the use of data structures in enterprise resource planning software (ERP) applications, as admitted by Applicant.

The ordinary skilled artisan would have been motivated to modify the combination of Furlani/Bak/Lenz per the above for the purpose of managing company information of enterprises of various kinds in any field of technology by means of automatic data processing systems (para. 4 of the instant specification).

Conclusion

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Cheryl M Shechtman who can be reached on (571) 272-4018. The examiner can normally be reached on 9:00 am - 5:30 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, James Trujillo can be reached on (571) 272-3677. The fax phone number for the organization where this application or proceeding is assigned is (571) 273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Cheryl M Shechtman/

Examiner, Art Unit 2159

/Wilson Lee/

Primary Examiner, Art Unit 2163

May 18, 2009